

**APPENDIX A
LISTING OF APPLICABLE RULES AND REGULATIONS**

RULE/REGULATION	Brief Description
42 Code of Federal Regulations 434.6	Managed Care Organization Contract Requirements
42 Code of Federal Regulations 438	Managed Care
42 Code of Federal Regulations 455	Program Integrity for Medicaid
42 Code of Federal Regulations 456	Utilization Control
42 Code of Federal Regulations 483	Requirements for States and LTC Facilities
42 Code of Federal Regulations Part 160, 162 and 164	Federal Guidelines for Administrative Data Standards and Related Requirements
5 United States Code 1-13 Public Law 95-452	Mission and Requirement of Office of Inspector General
31 United States Code 3729-3733	Federal False Claims Act
31 United States Code 3801-3812	Program Fraud Civil Remedies Act of 1986
42 United States Code 1320a-7a	Civil Monetary Penalties
42 United States Code 1320a-7b Anti-Kickback Statute	Illegal Renumerations
42 United States Code 2000e Title VI Civil Rights Act of 1964	Prohibits Employment Discrimination Based on Race, Color, Religion, Sex and National Origin
42 United States Code 1320a-7 SSA Act, Section 1128	Exclusion of Certain Individuals and Entities from Participation in Medicare and State Health Care Programs
Americans with Disability Act of 1990	Equal Opportunity for Individuals with Disabilities
Balanced Budget Act of 1997	Index to All Sections and Titles of the Act

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Fair Labor Standards Act	Fair Labor Standards in Employments (05/2011)
Family and Medical Leave Act	Outlines to Take Job-Protected, Unpaid Leave, or Sustain Appropriate Paid Leave for "Eligible" Employees of A Covered Employer
Health Information Technology for Economic and Clinical Health Act (HITECH Act)	Health Information Technology for Economic and Clinical Health Act (HITECH Act)
Health Insurance Portability and Accountability Act of 1996	Standards and Requirements for Transmitting PHI to Improve Effectiveness of the Health care System while Protecting Patient Privacy
Health Insurance Portability and Accountability Act Omnibus	Modifications to HIPAA Privacy, Security, Enforcement and Breach Notification Rules under HITECH Act and other Modifications to HIPAA Rules
OSHA Act of 1970 and Hazardous Communication Act	Safe and Healthful Working Conditions for Working Men and Women. Update of Hazardous Handling and Labeling of Chemicals in The Workplace
Patient Protection and Affordable Care Act	Quality, Affordable Healthcare for All Americans
Patient Safety and Quality Improvement Act of 2005	Act to Improve Patient Safety and Reduce Incidents of Events that Adversely Affect Patient Safety
Rehabilitation Act of 1973 Section 503	Affirmative Action Program to Prohibit Discrimination and Require Employers to Hire, Retain, and Promote Qualified Individuals with Disabilities
Social Security Act, Section 1877 Stark Law	Limitation on Certain Physician Referrals
Social Security Act, Section 1936	Medicaid Integrity Program to Address Fraud, Waste, and Abuse of the Medicaid Program
Public Law 109-171 Deficit Reduction Act of 2005	Key Medicaid Program Revisions
Executive Order 13410 Promoting Quality and Efficient Health care	Promoting Quality and Efficient Healthcare. CMS Quality Framework
Americans with Disability Act of 1990	Equal Opportunity for Individuals with Disabilities
Qui Tam or Whistleblower Provisions	Allows Private Individuals to File Lawsuits under the Federal False Claims Act on Behalf of the Fed/State Govts. To Recover Funds Stolen Through Fraud

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U.S Sentencing Guidelines	Apply When a Convicted Defendant is An Organization Contains Useful Guidelines for An "Effective Compliance Program"
Fraud Enforcement and Recovery Act of 2009	Added Additional powers to Federal Procecutors
42 United States Code 1395nn Stark II Law 42 CFR 411.351	Prohibits Referrals to Certain Designated Health Entities that Physician or Family Members Has a Financial Relationship (Creates Safe Harbor)
Texas Medicaid Fraud Prevention Act Texas Human Resources Code-Chapters 32.039 SubChapter B and 36.001-132	Texas False Claims Act and Whistleblower Protection
Texas Adminisrative Code (TAC)-Title 40, Part 1, Chapter 19, Nursing Facility Req. for Lic. and Medicaid Cert. Handbook	Requirements for Nursing Home Licensure and Medicaid Certification
Texas Adminisrative Code (TAC)-Title 40, Part 1, Chapter 92, Lic. Standards for Assisted Living Facilities Handbook	Requirements for Assisted Living Licensure Medicaid Certification
Texas Adminisrative Code (TAC)-Title 40, Part 1, Chapter 46, Contracting to Provide Assisted Living and Residential Care Srvcs.	Community Based Alternative Contract Requirements
Health and Safety Code Title 4, Subtitle B Chapter 242	Licensing Act for Nursing Homes
Health and Safety Code Title 4, Subtitle B Chapter 247	Licensing Act for Assisted Living
Health and Safety Code Title 4, Subtitle B Chapter 250	Nurse Aide Registry and Criminal History Checks for Employees and Applicants for Employment
Health and Safety Code Title 4, Subtitle B Chapter 260A	Reporting Abuse, Neglect, and Exploitation of Residents in Nursing or AL Facilities
U.S. Department of Labor Occupational Safety and Health Administration 29 CFR 1910	Requirements for employer to provide safe and healthful workplace for employees